SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Hau Robert W.				2. Issuer Name and Ticker or Trading Symbol <u>FISERV INC</u> [FISV]							5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner			
(Last) 255 FISERV DRI	(First) VE	(Middle)		3. Date of Earliest Transaction (Month/Day/Year) 02/26/2020						,	Officer (give title below)	Other	Other (specify below)	
(Street) BROOKFIELD (City)	WI (State)	53045 (Zip)	4.	4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person			
		Table I - No	n-Deriva	ative S	ecurities Acq	uired,	Disp	osed of, or	Benefi	cially O	wned			
Date			2. Transac Date (Month/Day		2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 a			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
						Code	v	Amount	(A) or (D)	Price	(Instr. 3 and 4)		(Instr. 4)	
		Table II	Dorivativ		uritics Acqui	rad Di	cnor	ad of ar B	onoficia		aad			

Derivative Securities Acquired, Disposed of, or Beneficially Owned l able II (e.g., puts, calls, warrants, options, convertible securities) 1. Title of 3. Transaction 3A. Deemed 5. Number of 6. Date Exercisable and 7. Title and Amount of 8. Price of 9. Number of 10. 11. Nature 2. Conversion Expiration Date (Month/Day/Year) of Indirect Derivative Date Execution Date. Transaction Derivative Securities Underlying Derivative derivative Ownership Derivative Security (Instr. Security (Instr. (Month/Day/Year) Securities Beneficial or Exercise if anv Code (Instr. Security Securities Form: Acquired (A) or Disposed of Ownership (Instr. 4) 3) Price of (Month/Dav/Year) 8) 3 and 4) (Instr. 5) Beneficially Direct (D) Derivative Owned or Indirect (D) (Instr. 3, 4 and 5) Security Following (I) (Instr. 4) Reported Transaction(s) (Instr. 4) Amount or Number Date Expiration (A) (D) Title Code v Exercisable Date of Shares Employee Stock Common Option (right to \$112.87 02/26/2020 71,266 02/26/2021(1) 02/26/2030 71,266 \$0.00 71,266 D A Stock buy)

Explanation of Responses:

1. One-fourth of these options vest on each anniversary of the grant date.

Remarks:

/s/ Robert W. Hau

** Signature of Reporting Person

02/28/2020

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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