SEC Form 5

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FORM 5

Form 3 Holdings Reported.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

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ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Form 4 Transac	ctions Reported		r				estment Con										
1. Name and Address of Reporting Person* ERNST MARK A					2. Issuer Name and Ticker or Trading Symbol <u>FISERV INC</u> [FISV]							5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
(Last) 255 FISERV I			ddle)	3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 12/31/2017							x	below)	Officer (give title below)		10% Owner Other (specif below) erating Officer		
(Street) BROOKFIELD WI 530 (City) (State) (Zip)				4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Indiv X	vidual or Joint/Group Filing (Check Applicable Line) Form filed by One Reporting Person Form filed by More than One Reporting Person				,	
		Та	ble I - Non-Dei	rivative S	ecurit	ies Acqu	uired, Disp	osed o	f, or l	Benefici	ially Ow	ned					
Date			2. Transaction Date	Execution	2A. Deemed Execution Date, if any (Month/Day/Year) 3. Transaction Code (Instr 8)		4. Securities Acquired (A) or Disposed Of (Instr. 3, 4 and 5)) s	. Amount of ecurities		6. Ownership Form: Direct		7. Nature of Indirect		
			(Month/Day/Year)				Amount		A) or D)			Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)		(D) or Indirect (I) (Instr. 4)		Beneficial Ownership (Instr. 4)	
Common Stock	11/16/2016		G5		1,49	0	D \$0.00		00	56,049		D					
Common Stock 12/						G	1,900		D	\$0.00		54,365(1)		D			
			Table II - Deriv (e.g.,				ed, Dispo ptions, co					ed					
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	Deriva Securi Acqui Dispos		Expiration Date Securi (Month/Day/Year) Deriva		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) Amount or		8. Price of Derivative Security (Instr. 5)	9. Numb derivativ Securitie Benefici Owned Followir Reporte Transac (Instr. 4)	ve es ially ng ed ction(s)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		

Date

Exercisable

Expiration

Date

Title

Explanation of Responses:

1. Includes 216 shares acquired under the Fiserv, Inc. Employee Stock Purchase Plan.

Remarks:

/s/ Lynn S. McCreary (attorney-in- 02/13/2018 fact)

** Signature of Reporting Person Date

or Number

of Shares

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

(A)

(D)